American Society for Gastrointestinal Endoscopy guideline on the role of endoscopy in the diagnosis of malignancy in biliary strictures of undetermined etiology: summary and recommendations

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This guideline document was prepared by the Standards of Practice Committee of the American Society for Gastrointestinal Endoscopy using the best available scientific evidence and considering a multitude of variables including but not limited to adverse events, patient values, and cost implications. The purpose of these guidelines is to provide the best practice recommendations that may help standardize patient care, improve patient outcomes, and reduce variability in practice. We recognize that clinical decision-making is complex. Guidelines, therefore, are not a substitute for a clinician’s judgment. Such judgements may at times seem contradictory to our guidance because of many factors that are impossible to fully consider by guideline developers. Any clinical decisions should be based on the clinician's experience, local expertise, resource availability, and patient values and preferences. This document is not a rule and should not be construed as establishing a legal standard of care or as encouraging, advocating for, mandating, or discouraging any particular treatment. Our guidelines should not be used in support of medical complaints, legal proceedings, and/or litigation, as they were not designed for this purpose.

Cholangiocarcinoma is a rare malignancy with an approximate incidence of 8000 cases per year in the United States,1 although it is increasing in frequency.2,3 The prognosis of cholangiocarcinoma is poor, with an overall 5-year survival rate of about 10%; however, diagnosis at an earlier stage results in a higher likelihood of survival.1 Therefore, it is important to diagnose malignancy as soon as possible when patients present with biliary strictures.

Patients presenting with biliary strictures of undetermined etiology often pose a diagnostic challenge. It is
estimated that the risk of malignancy in patients with a biliary stricture without an obvious mass on cross-sectional imaging is approximately 55%. Benign etiologies of biliary strictures associated with diseases include primary sclerosing cholangitis, IgG subclass 4–related sclerosing cholangitis, ﬁbrotic strictures, and chronic pancreatitis. The appearance of a benign biliary stricture on cross-sectional imaging often mimics the appearance of a malignant biliary stricture. Thus, tissue acquisition is required to distinguish malignant and benign biliary strictures.

Diagnostic modalities for biliary strictures are limited; however, endoscopic approaches are preferred over percutaneous sampling approaches, which require an external drain and risk needle-track seeding, or surgical approaches. Tissue acquisition in biliary strictures relies heavily on endoscopic techniques such as ERCP with brush cytology, intraductal biopsy sampling, cholangioscopy, or EUS with FNA or ﬁne-needle biopsy sampling (FNB). However, these techniques have limitations, particularly low sensitivity for the diagnosis of malignancy and needle-track seeding in the setting of EUS-guided FNA of hilar strictures. The diagnosis of malignancy in biliary strictures often requires multiple procedures, resulting in increased cost and patient anxiety as well as delays in diagnosis and potential curative treatment. Therefore, the aim of this guideline is to provide evidence-based recommendations for the endoscopic approach to undetermined biliary strictures.

**METHODS**

This document was prepared by the Standards of Practice Committee of the American Society for Gastrointestinal Endoscopy (ASGE) and was conceptualized and conducted according to the Grading of Recommendations Assessment, Development and Evaluation framework. Evidence was presented to a panel of experts representing various stakeholders, including a surgical oncologist, medical oncologist, and interventional radiologist. A patient advocate was also included. All panel members were required to disclose potential ﬁnancial and intellectual conﬂicts of interest, which were addressed according to ASGE policies. In developing these recommendations, we took into consideration the certainty of the evidence, beneﬁts, and harms of different management options, feasibility, patient values and preferences, resource utilization, cost-effectiveness, and health equity. The ﬁnal wording of the recommendations, including direction and strength, were approved by all members of the panel and the ASGE governing board. Stronger recommendations are typically stated as “we recommend...,” whereas weaker recommendations are indicated by phrases such as “we suggest....”

These guidelines addressed the following 3 clinical questions using the Grading of Recommendations Assessment, Development and Evaluation format:

1. In patients with undetermined biliary strictures, should ERCP with ﬂuoroscopic-guided biopsy sampling be performed versus ERCP with brush cytology to diagnose malignancy?
2. In patients with undetermined biliary strictures, should ERCP with cholangioscopic-guided biopsy sampling be performed versus ERCP without cholangioscopy to diagnose malignancy?
3. In patients with undetermined biliary strictures, should EUS with FNA/FNB be performed versus ERCP with any form of tissue acquisition to diagnose malignancy?

Indeterminate biliary strictures historically have been defined as a stricture in which prior ERCP had inconclusive cytology results. However, this guideline used the term undetermined biliary strictures rather than indeterminate biliary strictures because that term enabled the inclusion of studies of patients undergoing their ﬁrst ERCP without a prior negative brush cytology. It is important to make this distinction to emphasize the importance of other forms of tissue acquisition that can be used in addition to brush cytology in the initial diagnostic workup of biliary strictures suspected to have underlying malignancy. Relevant clinical outcomes were incremental yield, diagnostic test characteristics (sensitivity, speciﬁcity, positive predictive value, and negative predictive value), technical success, specimen adequacy, and adverse events. Technical success was deﬁned as the percentage of cases where the endoscopist was able to perform the desired tissue sampling, whereas specimen adequacy was deﬁned as a pathologic diagnosis with enough cellular components to make a determination of malignant or benign.

**RESULTS AND SUMMARY OF RECOMMENDATIONS**

Details of our literature searches, data analyses, pooled-effects estimates, evidence proﬁles, forest plots, and panel deliberations for each outcome can be found in the accompany article subtitled “Methodology and Review of Evidence.” A summary of our ﬁnal recommendations is listed in Table 1.

**Question 1:** In patients with biliary strictures of undetermined etiology, should ERCP with ﬂuoroscopic-guided biopsy sampling be performed in addition to brush cytology versus ERCP with brush cytology alone to diagnose malignancy?

**Recommendation 1.** In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests the addition of ﬂuoroscopic-guided biopsy sampling with brush cytology versus brush cytology alone to diagnose malignancy.

(Conditional recommendation/very low quality of evidence)
TABLE 1. Summary of recommendations

<table>
<thead>
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<th>Question</th>
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| 1 | In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests the addition of fluoroscopic-guided biopsy sampling with brush cytology versus brush cytology alone to diagnose malignancy. | Conditional recommendation, very low quality of evidence | • Review all cross-sectional imaging.  
• Discuss patient in a multidisciplinary board or committee. |
| 2 | In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests the use of cholangioscopic-guided biopsy sampling in A, Nondistal biliary strictures where there is a high probability of adequate drainage of the critical liver segment or B, Previous nondiagnostic ERCP without cholangioscopy, and C, Centers with clinical expertise and easy access to the equipment. Otherwise, the ASGE suggests ERCP with or without cholangioscopy in the diagnosis of malignancy. | Conditional recommendation, very low quality of evidence | • Discuss results with dedicated GI pathologist.  
• Ensure careful alignment and advancement of forceps into the common bile duct under fluoroscopic guidance. |
| 3 | In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests EUS in addition to ERCP for the diagnosis of malignancy in the presence of A, Prior ERCP with nondiagnostic ERCP results, B, Distal biliary stricture, or C, Presence of lymphadenopathy or metastatic disease on cross-sectional imaging, | Conditional recommendation, very low quality of evidence | • Upfront EUS should be considered in centers with the ability to do EUS and ERCP in the same session.  
• If EUS is performed in the setting of hilar strictures, it is important for the endoscopist to avoid biopsy sampling of the biliary mass itself. |

ASGE, American Society for Gastrointestinal Endoscopy.

Summary of evidence

A de novo systematic review and meta-analysis identified 21 observational studies (20 full text, 1 abstract) with 2726 patients that compared ERCP with fluoroscopic-guided biopsy sampling in combination with brush cytology versus brush cytology alone.9-29 The incremental yield of intraductal biopsy sampling in combination with brush cytology versus patients that compared ERCP with fluoroscopic-guided biopsy sampling was 18% (95% CI, .12-20.53; \( I^2 = 65\% \)). Nevertheless, the panel acknowledged that intraductal biopsy sampling is technically more difficult to obtain and requires more expertise because it is often typically performed without direct endoscopic visualization. Therefore, some studies may not have necessarily attempted intraductal biopsy sampling in all strictures. Based on our analysis, specimen adequacy was higher for brush cytology,9,15,16,18-21,24,26,28 21,26,29 although the overall number of events was low at more than 200 in each group. There was no difference in adverse events between brush cytology and intraductal biopsy sampling (odds ratio, .53; 95% CI, .14-2.05; \( I^2 = 0\% \)). Although the overall number of events was low at 2 and 5 patients (out of >500 in each group) in the brush cytology and intraductal biopsy sampling groups, respectively. However, 2 severe adverse events of prolonged bleeding and perforation requiring surgical cholecystectomy occurred in the fluoroscopic-guided biopsy group.
Our literature search on this topic revealed no significant difference in costs or health equity with intraductal biopsy sampling or brush cytology. A cost utility study showed that biopsy sampling was cost-effective based on a willingness-to-pay threshold of less than $50,000.3^2

Based on the increased incremental yield, lower miss rate, higher sensitivity, and overall low adverse event rate, the panel was in favor of adding fluoroscopic-guided biopsy sampling to cytology brushings in the workup of biliary strictures of undetermined origin. The panel expressed some concerns about the feasibility and safety of intraductal biopsy sampling because it is more technically challenging, is more time-consuming, and resulted in more severe adverse events than brush cytology alone. Therefore, the panel made a conditional recommendation acknowledging that biopsy sampling should be performed either at tertiary care centers or where there is endoscopic expertise.

**Question 2:** In patients with biliary strictures of undetermined etiology, should ERCP with cholangioscopic-guided biopsy sampling be performed versus ERCP without cholangioscopy to diagnose malignancy?

**Recommendation 2.** In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests the use of cholangioscopic-guided biopsy sampling in

- a. Nondistal biliary strictures where there is a high probability of adequate drainage of the critical liver segment or
- b. Previous nondiagnostic ERCP without cholangioscopy and
- c. Centers with clinical expertise and easy access to the equipment.

Otherwise, the ASGE suggest ERCP with or without cholangioscopy in the diagnosis of malignancy.

*(Conditional recommendation/very low quality of evidence)*

**Summary of evidence**

A de novo systematic review and meta-analysis identified 13 studies (1 randomized control trial, 12 observational studies)\(^9,10,14,30,33-41\) with 1529 patients who underwent ERCP with cholangioscopy and ERCP with other means of tissue acquisition, such as fluoroscopic-guided biopsy sampling, brush cytology, or both. The incremental yield of ERCP with cholangioscopy over ERCP without cholangioscopy was 27% (95% CI, 9.4-46; \(I^2 = 56.8\%\)) in 4 observational studies,\(^9,30,35,36\) and 41% (95% CI, 11-72) in the only randomized controlled trial evaluating this outcome.\(^9,30,35,38\) The sensitivity of ERCP with cholangioscopy was significantly higher than ERCP without cholangioscopy (.72 [95% CI, .66-.77; \(I^2 = 71.8\%\)] vs .61 [95% CI, .57-.66; \(I^2 = 79.7\%\)], respectively; \(P = .001\)).\(^9,10,14,30,35-41\) One study reported a higher sensitivity for distal strictures during ERCP with intraductal biopsy sampling (sensitivity, 76%) compared with ERCP with cholangioscopic-guided biopsy sampling (sensitivity, 50%).\(^3^4\)

No difference in sensitivity was found between ERCP with and without cholangioscopy for proximal bile duct strictures in this study.

There was no difference in technical success,\(^9,30,33\) specimen adequacy (.96; 95% CI, .23-4; \(I^2 = 0\%\)),\(^9,30,35,34\) or adverse events (.58; 95% CI, .26-1.26; \(I^2 = 0\%\))\(^9,30,35,38\) between ERCP with and without cholangioscopy. The most common adverse event for both groups was acute pancreatitis, with most cases being mild episodes. One study reported that additional time was needed to do the cholangioscopy portion of the ERCP, at 14 minutes (95% CI, 10-20).\(^9\)

As expected, cholangioscopy has a higher cost. One study quoted an additional $2637 when cholangioscopy was done during ERCP with stent placement.\(^4^2\) Furthermore, access to cholangioscopy is limited primarily to tertiary referral centers with experienced operators. However, the use of cholangioscopy has been shown to be cost-effective and decreases the overall number of procedures and costs required to diagnose malignancy.\(^3^2\) In patients with primary sclerosing cholangitis, cholangioscopy had an incremental quality-adjusted life-years gain of .22 at an additional cost of $8562.4^2. This resulted in a base-case incremental cost-effectiveness ratio of $39,277.25, which is below the willingness-to-pay threshold of less than $50,000. In this study, cholangioscopy was more cost-effective than brush cytology, fluoroscopic-guided biopsy sampling, and fluorescence in situ hybridization analysis.

Based on the incremental yield of at least 27% higher sensitivity, no difference in adverse events, and overall cost-effectiveness, the panel was in favor of ERCP with cholangioscopy in the diagnostic approach for undetermined biliary strictures. However, with a lack of widespread availability, higher cost, and need for additional training on the technicalities of cholangioscopy, the panel emphasized the importance of cholangioscopy being performed at a tertiary center with expertise in this technique. Furthermore, because cholangioscopy is often difficult and less accurate in the very distal portion of the bile duct because of cholangioscope instability and difficulty passing the mini-forceps, cholangioscopy may not be the optimal approach for distal biliary strictures.

The panel emphasized the importance of adequate proximal biliary segment drainage after cholangioscopy. Because cholangioscopy requires the instillation of water or saline solution, there is a risk of introducing infection into the proximal biliary tree if it is not drained adequately.\(^4^4\) Therefore, some experts on the panel expressed preference to not perform cholangioscopy during the initial ERCP but rather wait until the decompression of the proximal ducts is ensured, whereas others would consider cholangioscopy during the initial session as long as drainage of the proximal ducts appeared to be feasible.

Although this guideline focused on cholangioscopy-guided biopsy sampling, the panel wanted to also emphasize...
the importance of interpreting the visualized images during cholangioscopy to help differentiate benign versus malignant strictures. Malignant strictures can appear nodular, papillary, or infiltrative. Nodular masses have irregular mucosa with severe neovascularization that can obstruct the lumen, whereas papillary masses have numerous papillary projections and less neovascularization, and infiltrative masses cause luminal narrowing without a discrete mass but have more whitish mucosal discoloration and neovascularization. Understanding the distinguishing features of a malignant stricture can assist with targeting cholangioscopic-guided biopsy sampling to potentially increase the diagnostic yield of this technique.

**Question 3:** In patients with biliary strictures of undetermined etiology, should EUS with FNA or FNB be performed versus ERCP with any form of tissue acquisition to diagnose malignancy?

**Recommendation 3.** In patients with biliary strictures of undetermined etiology undergoing ERCP, the ASGE suggests EUS in addition to ERCP for the diagnosis of malignancy in the presence of

- a. Prior ERCP with nondiagnostic ERCP results,
- b. Distal biliary stricture, or
- c. Presence of lymphadenopathy or metastatic disease on cross-sectional imaging.

(Conditional recommendation/very low quality of evidence)

**Summary of evidence**

A meta-analysis by Chiang et al.44 on the incremental benefit of EUS over ERCP was identified. A systematic review of the topic did not find any additional studies. In this meta-analysis, the incremental benefit of EUS after nondiagnostic ERCP with brush cytology was found to be 15% (95% CI, 9.24; \( I^2 = 0 \%). In 11 studies, the pooled sensitivity of ERCP alone with any method of tissue acquisition was no different from EUS alone (ERCP sensitivity .7 [95% CI, .66-.73; \( I^2 = 86.6\% \) vs EUS sensitivity .74 [95% CI, .71-.77; \( I^2 = 90\% \); \( P = .31 \)]. However, in 8 studies, the pooled sensitivity of combined EUS + ERCP was significantly higher than ERCP alone (ERCP + EUS sensitivity .88 [95% CI, .85-.91; \( I^2 = 53.6\% \) vs ERCP alone sensitivity .61 [95% CI, .57-.64; \( I^2 = 86.4\% \)], respectively; \( P < .001 \)]. On subgroup analyses, EUS had a higher sensitivity than ERCP for distal strictures (.82 [95% CI, .76-.87] vs .62 [95% CI, .55-.69], respectively)17,25,37,40-49 and pancreatic masses (.82 [95% CI, .78-.86] vs .46 [95% CI, .4-.51], respectively; \( P < .0001 \). There was no difference in technical success17,46-48,50 or specimen adequacy17,48,49 when comparing ERCP and EUS. EUS had a significantly lower adverse event rate (OR, 8.11; 95% CI, 2.95-22.29), with only 3 minor bleeding episodes occurring with EUS-guided FNA compared with 44 adverse events with ERCP (1 severe pancreatitis, 27 mild pancreatitis, 10 cholangitis, and 6 mild bleeding).9,29,37,58,46,49,51 According to 1 study that used historical control subjects, EUS added an average of 23 minutes (95% CI, 14-32) to the procedure time.50

There was a minor cost increase when EUS and ERCP were performed in the same session. Although 1 study reported the cost of EUS with FNA to be $1076.25, the panel stressed that the cost is much lower when combined with ERCP than when performed alone.42 EUS was found to be cost-effective in patients with biliary strictures even without a discrete mass.52 The panel took into account that EUS is not as widely available throughout the country as compared with ERCP.

With the incremental benefit of EUS, lower adverse event rate, and cost-effectiveness, the panel was in favor of performing EUS in patients with biliary strictures of undetermined etiology. It was clear that EUS is beneficial in the setting of distal biliary strictures, and if a pancreatic mass, lymphadenopathy, or metastatic disease is noted within reach of the echoendoscope on cross-sectional imaging, then EUS should be performed. Some experts on the panel routinely performed EUS combined with ERCP on any biliary stricture, whereas others were less keen to perform EUS on proximal strictures because of the lower diagnostic yield and additional time involved. A risk of needle-tract seeding must be emphasized during EUS-guided FNA or FNB of hilar cholangiocarcinoma that may exclude patients from undergoing liver transplantation. Therefore, if an EUS is performed in the setting of proximal or hilar strictures, the endosonographer should not perform FNA or FNB of the biliary mass itself.

**OTHER CONSIDERATIONS**

The panel considered other endoscopic techniques such as intraductal US (IDUS) and confocal laser endomicroscopy, which have been studied in patients with biliary strictures. IDUS findings that are suggestive of malignancy are an intraluminal mass with an irregular margin, wall thickness >9 mm, heterogeneous lesion with an uneven mucosal surface, eccentric wall thickening, destruction of the wall layers, and masses that invade the surrounding tissue.53,54 IDUS has been shown to increase the sensitivity of diagnosing malignant strictures compared with ERCP alone.55,56 Our previous guidelines consider IDUS is a promising technique in the evaluation of indeterminate biliary strictures.58 Because it is more widely available now, IDUS could potentially be considered to help localize the malignant-appearing region for targeted biopsy sampling. One study showed the diagnostic accuracy of IDUS-guided transpapillary biopsy sampling was significantly higher than transpapillary biopsy sampling alone (90.8% vs 76.9% respectively; \( P = .028 \)).59 However, the utility of this techniques needs to be further studied before a
recommendation can be made on its widespread adoption into clinical practice.

Similarly, confocal laser endomicroscopy uses thin confocal laser probes inserted through the working channel of the duodenoscope (probe-based confocal laser endomicroscopy). A group of endoscopists formed the Miami classification system based on consensus to help differentiate benign versus malignant biliary stricture. Malignant biliary strictures included thick dark bands of the collagen fibrils and thickened white bands within the vessels. A limitation of the Miami classification is the low interobserver agreement. Subsequently, the Paris classification further defined the criteria for benign inflammatory strictures including vascular congestion, dark granular patterns with scales, increased inter glandular space, and thickened reticular structures. A meta-analysis had a pooled sensitivity of 90% (95% CI, 86-94; I² = 1.6%) and specificity of 72% (95% CI, 65-79; I² = 0%). One systematic review mentioned that its best application may be a high negative predictive value for malignancy of 94%. Based on this, the panel noted that confocal laser endomicroscopy is difficult to master and also expensive. Therefore, its widespread adoption is likely limited in the near future.

**FUTURE DIRECTIONS**

Our systematic literature review highlighted several areas in need of additional higher quality data to inform the role of endoscopy in the diagnosis of malignancy in biliary strictures of undetermined etiology. Future studies should address the following:

1. Randomized control trials to address the above clinical questions to improve our knowledge on the topic
2. Focus on patients with primary sclerosing cholangitis because the diagnostic algorithm may change in this patient population where fluorescence in situ hybridization analysis plays a higher role
3. Role on technologic developments such as mini overtubes to facilitate intraductal biopsy sampling, improvements on cholangioscopy platforms and tissue sampling devices, and novel imaging modalities such as confocal laser microscopy to improve the diagnosis of biliary malignancies
4. Role of adjunctive pathologic analyses such as next-generation sequencing, flow cytometry, fluorescence in situ hybridization analysis, and digital image analysis in the diagnostic algorithm
5. Diagnostic yield of performing cholangioscopy and/or EUS on consecutive patients who present with biliary strictures (instead of limited to those in whom cholangioscopy is technically successful)
6. Interval of time before next ERCP(s) when nondiagnostic
7. Utility of artificial intelligence–guided visual interpretation and artificial intelligence–guided sampling during cholangioscopy and EUS for indeterminate biliary strictures

**SUMMARY AND CONCLUSIONS**

These ASGE guidelines used the best available evidence to make recommendations for the role of endoscopy in the diagnosis of malignancy in patients with biliary strictures of undetermined etiology. If the endoscopic expertise is available, it is suggested that ERCP with fluoroscopically-guided biopsy sampling and brush cytology should be performed for any location of the biliary stricture, whereas cholangioscopy and EUS should also be considered, particularly in nondistal and distal biliary strictures, respectively.

**GUIDELINE UPDATE**

ASGE guidelines are reviewed for updates approximately every 5 years or in the event that new data may influence a recommendation. Updates follow the same ASGE guideline development process.

**DISCLOSURE**

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REFERENCES


Abbreviations: ASGE, American Society for Gastrointestinal Endoscopy; CI, confidence interval; FNB, fine-needle biopsy sampling; IDUS, intraductal ultrasound.

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